

# I. von Neumann entropy and its properties

The von Neumann entropy is just the Shannon entropy of the state's eigenvalues:

$$S(\rho) = -\sum_k \lambda_k \log \lambda_k = -\text{Tr}[\rho \log \rho]$$

Properties:

1.  $S(\rho) = 0$  iff  $\rho$  is a pure state

2.  $S(U\rho U^\dagger) = S(\rho)$  for unitary  $U$

3.  $S(\rho) \leq \log |\text{supp } \rho|$

4.  $S(\sum_k p_k \rho_k) \geq \sum_k p_k S(\rho_k)$

5.  $S(\sum_k P_k \rho P_k) \geq S(\rho)$  for any complete set of projectors  $P_k$

Properties 1 and 2 are clear by inspection. Just like the classical case, the rest follow from the positivity of the relative entropy, a statement known as Klein's inequality:

$$S(\rho \parallel \sigma) = \text{Tr}[\rho(\log \rho - \log \sigma)] \geq 0$$

with equality iff  $\rho = \sigma$ .

3. Define  $\sigma = \mathbb{1}/d$  where  $\mathbb{1}$  is identity operator on  $\text{supp } \rho$  and  $d = |\text{supp } \rho|$ .

$$\text{Then } S(\rho \parallel \sigma) = \log d - S(\rho) \geq 0$$

4. Let  $\rho = \sum_k p_k \rho_k$ . Then  $\sum_k p_k S(\rho_k \parallel \rho) = S(\rho) - \sum_k p_k S(\rho_k) \geq 0$

5. Let  $\bar{\rho} = \sum_k P_k \rho P_k$ . Observe  $[P_k, \bar{\rho}] = 0$ .  $S(\rho \parallel \bar{\rho}) = -S(\rho) - \sum_k \text{Tr}[P_k(\rho \log \bar{\rho})P_k]$   
 $= S(\bar{\rho}) - S(\rho) \geq 0$

## II. Justifications / Operational Interpretations

### A. Schumacher compression

Schumacher compression is the quantum analog of classical data compression / source coding, where the source produces quantum states instead of classical random variables.

Suppose a source produces states  $|\varphi_k\rangle \in \mathbb{C}^d$  with probability  $p_k$ .

Can we compress  $n$  outputs of this source into a state space of fewer than  $d^n$  dimensions? Yes! The idea is to work in the eigenbasis of the average state  $\rho = \sum_k p_k |\varphi_k\rangle\langle\varphi_k|$  and treat the problem as classical source coding.

$$\text{Let } \rho = \sum_k p_k |\varphi_k\rangle\langle\varphi_k| = \sum_j \lambda_j |j\rangle\langle j|$$

Then  $\rho^{\otimes n} = \sum_{\vec{j}} \lambda_{\vec{j}} |\vec{j}\rangle\langle\vec{j}|$ , where  $|\vec{j}\rangle = |j_1\rangle|j_2\rangle\dots|j_n\rangle$  and  $\lambda_{\vec{j}} = \lambda_{j_1}\lambda_{j_2}\dots\lambda_{j_n}$ ,

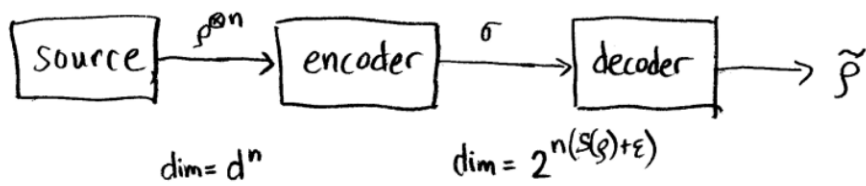
has most of its support on the typical subspace  $T_n^\epsilon$ , defined to be the space spanned by eigenvectors whose eigenvalues are typical.

Let  $P$  be the projector onto this subspace. Then we can define

$$\text{an encoder } \mathcal{E} \text{ by } \mathcal{E}(\rho^{\otimes n}) = VP\rho^{\otimes n}P^\dagger + \rho_{\text{fail}}(1 - \text{Tr}[P\rho^{\otimes n}]),$$

that is, the encoder measures  $\rho^{\otimes n}$  with  $P$  and  $1-P$ . If the input is typical, then the encoder uses the partial isometry  $V$  to transfer the "pruned" state to a state space of  $2^{n[S(\rho) + \epsilon]}$  dimensions. If the input isn't typical, it outputs the state  $\rho_{\text{fail}}$ , which we take to live in some other state space.

That way, the decoder can look at the output, decide if it is "good" or not, and proceed to apply  $V^T$  if it is. Then we have the following protocol:



How good an approximation to  $\rho^{\otimes n}$  is the output  $\tilde{\rho}$ ?

We can quantify this by the trace distance  $\|\rho^{\otimes n} - \tilde{\rho}\| = \frac{1}{2} \text{Tr} |\rho^{\otimes n} - \tilde{\rho}|$  (\*)

The output is given by  $\tilde{\rho} = P_{\rho^{\otimes n}} P + (1 - \text{Tr}[P_{\rho^{\otimes n}}]) \rho_{\text{fail}}$ , so

$$\frac{1}{2} \text{Tr} |\rho^{\otimes n} - P_{\rho^{\otimes n}} P - (1 - \text{Tr}[P_{\rho^{\otimes n}}]) \rho_{\text{fail}}|$$

$$= \frac{1}{2} \left[ (1 - \text{Tr}[P_{\rho^{\otimes n}}]) + \text{Tr} |\rho^{\otimes n} - P_{\rho^{\otimes n}} P| \right]$$

$\rho_{\text{fail}}$  lives on an orthogonal space to  $\rho^{\otimes n}$

$$= \frac{1}{2} \left[ 1 - \text{Tr}[P_{\rho^{\otimes n}}] + \sum_{\vec{k}} |\lambda_{\vec{k}} - \lambda'_{\vec{k}}| \right]$$

$$\lambda'_{\vec{k}} = \begin{cases} \lambda_{\vec{k}} & \text{if } \vec{k} \in T_{\delta}^{\epsilon} \\ 0 & \text{otherwise} \end{cases}$$

$$= \frac{1}{2} \left[ 1 - \text{Tr}[P_{\rho^{\otimes n}}] + \sum_{\vec{k} \notin T_{\delta}^{\epsilon}} \lambda_{\vec{k}} \right]$$

$$= 1 - \text{Tr}[P_{\rho^{\otimes n}}] \quad \text{but } \text{Tr}[P_{\rho^{\otimes n}}] > 1 - \delta$$

$$< \delta$$

$$(*) \quad |A| = \sqrt{A^{\dagger} A} = \sum_k |\lambda_k| \quad \text{if } A \text{ Hermitian with eigenvalues } \lambda_k$$

The trace distance is perhaps the best measure to use, because it can be shown that if  $\|\rho - \sigma\| < \epsilon$ , then we can think of  $\sigma$  as being  $\rho$  with prob.  $\geq 1 - \epsilon$ . That is, no measurement we could perform would give different outputs assuming  $\rho$  rather than  $\sigma$  with total prob.  $> \epsilon$